



## Lynn H. DuBois

Partner

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### PRACTICE AREAS

- Tax
- Corporate

### INDUSTRIES

- Healthcare

## OVERVIEW

Lynn DuBois is a partner in the Tax and Employee Benefits Practice Group in Sheppard Mullin's San Francisco office.

### Areas of Practice

Ms. DuBois practices in the Employee Benefits/ERISA area where she focuses on tax-qualified retirement plans, with a particular emphasis on employee stock ownership plans (ESOPs). Her practice includes company, trustee, and shareholder representation in a wide variety of ESOP transactions, including leveraged buy-outs, corporate stock repurchases, ownership succession transactions, and corporate reorganizations. Ms. DuBois also counsels clients with respect to management incentive plans implemented in connection with ESOP transactions.

Ms. DuBois also possesses substantial expertise in health and welfare plans and deferred compensation plans. She advises clients on all aspects of employee benefits in connection with corporate mergers and acquisitions, including the transition of employees and the treatment of employee benefit plans following a transaction. Ms. DuBois advises clients on qualified plan compliance issues, COBRA compliance, fiduciary responsibility issues, ERISA controversies and prohibited transactions, and she has represented clients before the IRS and the Department of Labor.

## EDUCATION

- J.D., Stanford Law School, 1994, Executive Editor, *Stanford Law and Public Policy Review*
- A.B., Smith College, 1991, *summa cum laude*

## ADMISSIONS

- California State courts
- U.S. Court of Appeals for the 9th Circuit
- U.S. District Court for the Northern District of California

**MEMBERSHIPS**

- Member of many legal organizations, including the American Bar Association and the California Bar Association
- Member, National Center for Employee Ownership
- Member, ESOP Association
- Member, ESOP Association Administrative Advisory Committee
- Board member/co-chair, Professional Development Committee of the Financial Women's Association of San Francisco
- Member, membership committee of the Western Pension and Benefits Conference

**ARTICLES**

- Have A Company To Sell In The Down Economy? Think ESOP, February 18, 2009

**SPEECHES**

- Speaker on ESOP topics, qualified plans and executive compensation for the ESOP Association, the National Center for Employee Ownership, the Western Pension and Benefits Conference and other business organizations.