



→ Christopher J. Bosch

Associate

30 Rockefeller Plaza
New York, NY 10112

T: +1.212.653.8185

F: +1.917.438.6145

cbosch@sheppardmullin.com

Christopher Bosch is an associate in the Government Contracts, Investigations & International Trade Practice Group in the firm's New York office.

Areas of Practice

Christopher's practice primarily focuses on securities regulation, compliance, and litigation, as well as internal investigations and white collar defense.

Christopher frequently represents banks, broker-dealers, investment advisers, boards of directors, corporate officers, financial advisors, and other securities professionals in connection with internal investigations and inquiries by the U.S. Attorney's Offices for the Southern District of New York and Northern District of California, the SEC, the CFTC, FINRA, the IRS, the FRB, the NY Department of Financial Services, and other governmental entities and self-regulatory organizations. He counsels clients issues and allegations, including insider trading, trading and position valuation, misuse of confidential information, unauthorized trading, money laundering and BSA/AML issues, retail brokerage sales practice violations, criminal and civil tax violations, and legal challenges posed by emerging technologies. Christopher has advised financial institutions on compliance with data privacy and cybersecurity legal mandates, represented a blockchain investor in connection with shareholder derivative and class actions alleging securities law violations, advised firms regarding digital token issuances and regulatory compliance, and regularly publishes on regulatory developments in the cybersecurity, blockchain, and cryptocurrency spaces.

Christopher represents organizations and individuals in connection with a wide variety of litigation, including grand jury and regulatory enforcement matters, as well as RICO, breach of contract, fraud, intellectual property infringement, and shareholder actions.

Christopher is actively involved in pro bono work at the firm, including the representation of individuals seeking asylum in immigration proceedings.

Articles

- Legislation Misses Mark To Expand SEC Disgorgement Powers
New York Law Journal, 03.15.2021
- Lehman Ruling Sets Stage For Future Subordination Contests
Law360, 08.16.2017

- Securing the Smart Grid: Protecting National Security and Privacy through Mandatory, Enforceable Interoperability Standards
41 FORDHAM URB. L.J. 1349, 2014
- Not So Fast: Death of Labor Department Fiduciary Rule May Not Be Imminent
New York Law Journal, 12.13.2016
- NYSE Returns To the Regulatory Beat
New York Law Journal, 02.08.2016

Corporate and Securities Law Blog Posts

- "New York Attorney General Sues to Shutter Cryptocurrency Trading Firm Coinseed," February 25, 2021
- "Ninth Circuit Reverses SEC Disgorgement Award and Remands in First Decision Post-Liu," August 20, 2020
- "Delaware Supreme Court Affirms Appraisal Award Using Corporation's Unaffected Market Price As Fair Value," July 20, 2020
- "Supreme Court Preserves But Limits SEC Disgorgement Power," June 29, 2020
- "SEC Offers Limited Rule Relief to Spur Small Business Crowdfunding During Pandemic," May 14, 2020
- "Third Circuit Reversal a Pyrrhic Win for SEC in Ongoing Statute of Limitations Saga," October 16, 2019
- "New York's AG Enters the Cryptocurrency Ring as Federal, State Authorities Find Regulatory Footing," May 1, 2018
- "Second Circuit Limits Reach of SLUSA Preclusion in State Law Variable Annuity Class Action," April 26, 2018
- "Delaware Supreme Court Imposes New Limits on Stockholder Ratification Defense In Connection With Equity Incentive Plans," January 3, 2018
- "Second Circuit Holds that Contingent Equity-Based Compensation of Former Lehman Employees are Subordinate to Creditor Claims," June 21, 2017
- "New York Appellate Division Revives Non-Monetary Class Action Settlement in M&A Class Action with Revised Standard of Review," March 2, 2017

Government Contracts, Investigations & International Trade Blog Posts

- "FINRA Settlement Highlights Importance of Anti-Money Laundering Due Diligence and Monitoring," August 6, 2020
- "FINRA's COVID-19 Response," March 20, 2020
- "SEC and FINRA Signal Renewed Focus on Vendor Management in Two Key Areas: Cybersecurity and Market Access Rule Compliance," February 27, 2020
- "Regulatory Moves Show Financial Watchdogs Working Smarter, if Not Harder," October 30, 2019
- "New York DFS Consumer Protection and Financial Enforcement Division: New Name, New Look, Old Mandate," May 29, 2019
- "SEC Issues Risk Alert on Customer Privacy Safeguards," April 29, 2019
- "Crypto Firms Make Inroads with State and Federal Regulators," October 30, 2018
- "New York's AG Enters the Cryptocurrency Ring as Federal, State Authorities Find Regulatory Footing," April 30, 2018

- "New Legislation Introduced in 2017 Signals the Beginning of a Strong Push for AML Reform," February 28, 2018
- "FINRA Fetes Emerging Blockchain Technology at Industry Conference," July 27, 2017
- "Financial Regulators Take Note: The Supreme Court's Newest Member is a Tough Taskmaster," April 26, 2017
- "New York State Department of Financial Services Cybersecurity Regulation Poised to Reshape Existing Regulatory Landscape," January 31, 2017
- "SEC Steps Up Cybersecurity Enforcement with \$1 Million Fine Against Morgan Stanley," July 7, 2016
- "Forward to the Past: NYSE Returns to Regulation," November 23, 2015

Eye on Privacy Law Blog Posts

- "New York State Department of Financial Services Proposes Cybersecurity Regulations for Financial Services Companies," September 21, 2016

Law of the Ledger: Blockchain and Cryptocurrency Blog

- "New York Attorney General Sues to Shutter Cryptocurrency Trading Firm Coinseed," February 26, 2021
- "Crypto Firms Make Inroads with State and Federal Regulators," November 7, 2018

Events

Legislation Misses Mark in Attempt to Expand SEC Disgorgement Powers
West LegalEdcenter
05.12.2021

Practices

Government Contracts, Investigations & International Trade
Litigation
Securities Enforcement

Education

J.D., Fordham University School of Law, 2015, *magna cum laude*, Order of the Coif, Notes & Articles Editor, *Fordham Urban Law Journal*; Associate Editor, *Dispute Resolution Society*; Mary Daly Scholar
B.S., Boston College, *cum laude*

Admissions

New York
U.S. District Court for the Southern District of New York
U.S. District Court for the Eastern District of New York

U.S. District Court for the Northern District of New York
U.S. Court of Appeals for the Second Circuit