



## → Christine L. Swanick

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Christine Swanick is a partner in the Finance and Bankruptcy practice group in the firm's New York office. Christine serves as Team Leader of the Tribal and Indian Law Team.

### Areas of Practice

Christine concentrates her practice in the area of commercial financing and other credit transactions, including commercial loans, letter of credit facilities, syndicated credit facilities and note and bond financings, especially in the area of tribal-related financing projects. Additionally, Christine advises on restructuring of tribal debt. She also represents tribes and entities doing business with tribes in contractual, gaming, regulatory and economic development matters. Her work puts her in regular contact with federal decision-makers, including attorneys at the National Indian Gaming Commission.

### Honors

Named a "Leading Lawyer" in the *Lawdragon 500* Leading Lawyers in America, 2019

Named a "2019 Notable Women in Law," *Crain's New York Business*

Listed in *Best Lawyers in America*, 2012-2021

Ranked "Leading Individual" in Native American Law by *Chambers USA*, 2008-2021

Ranked "Up-and-Coming Individual" in Native American Law by Leading Lawyer, *Chambers USA*, 2006, 2007

Elected as a Fellow of the American College of Commercial Finance Lawyers

### Experience

- Represented Administrative Agent and Lead Arranger in \$310 million syndicated financing to a tribal gaming authority in the Pacific Northwest
- Represented Administrative Agent and Lead Arranger in \$250 million syndicated financing to a tribal gaming authority in the Southeast
- Represented tribal borrower in \$500 million syndicated financing to refinance existing debt
- Advised utility company regarding power plant located on Indian lands
- Acted as Indian law counsel to Administrative Agent, Lead Arranger and Underwriter in \$230 million term loan B and \$260 million bond financing to tribal authority in California

- Acted as Indian law counsel to Administrative Agent, Lead Arrangers and Underwriter in \$400 million term loan B and \$450 million bond financing for greenfield tribal gaming project in California
- Represented tribal borrower in \$160 million secured syndicated financing for both tax-exempt and gaming related purposes
- Represented tribal borrower in \$600 million secured syndicated financing related to gaming operations
- Represented Administrative Agent in \$410 million secured syndicated financing to a tribal gaming authority
- Represented Administrative Agent in \$290 million secured syndicated financing to a tribal gaming enterprise
- Represented Agent, as special counsel, in restructure of \$700 million secured syndicated financing
- Represented lenders in over 50 commercial bank financings, including single bank, club deals and syndicated financings
- Represented borrower in \$950 million secured syndicated financing of casino expansion project
- Represented underwriters in numerous bond financings and high-yield debt offerings
- Represented creditors and borrowers in restructuring debt obligations

## Articles

- SEC Rule Change Allows Tribes to be Accredited Investors  
Are tribal sovereign wealth funds in the near future?  
*NAFOA Navigator*, Fall 2020
- Are Tribal Corps. Eligible For Bankruptcy Protection?  
*Law360*, 03.13.2013
- "IRS Authorizes Optional TEDB Volume Cap Extensions, Requests Comments on Reallocation of Volume Cap,"  
November 2, 2011
- Conference on Consumer Finance Law Quarterly Report, "Wells Fargo Bank, N.A. v. Lake of the Torches  
Economic Development Corp.," Spring 2011

## Finance & Bankruptcy Law Blog Posts

- "Federal Reserve Clarifies that Distributions to Tribal Governments are Permitted Under the Main Street Lending Program," July 15, 2020
- "How Can the CARES Act Help My Tribe? A Briefing on Economic Stimulus for Tribes," April 1, 2020
- "When the "Lights Go Out on Broadway" Will the Lights on Tribal Slot Machines be Next? What Do State COVID-19 Emergency Actions Mean for Tribal Gaming Operations?," March 17, 2020
- "DOJ Opinion Leaves Industry Hanging: If UIGEA Exclusions Don't Modify the Wire Act What Does That Mean for Intrastate Gambling Transactions?" January 18, 2018
- "Federal Court Limits Tribe's, Secretary's Options When States Balk at Gaming Compact," October 22, 2014
- "U.S. Supreme Court Decision in Michigan v. Bay Mills Indian Community et al.," May 27, 2014
- "Interior's *Carcieri* Opinion Means More Diligence for Trust Land Development Projects," March 14, 2014
- "Tribal Corporate Bankruptcy Petition Raises Issues of First Impression for Bankruptcy Court," March 7, 2013

## Media Mentions

Law360's Native American Editorial Advisory Board  
*Law360*, 04.19.2019

Law360's Native American Editorial Advisory Board  
*Law360*, 03.22.2018

Supreme Court Petitions To Watch In Native American Law  
*Law360*, 09.22.2017

Native American Cases To Watch In The 2nd Half Of 2017  
*Law360*, 06.12.2017

5 Tips For Smooth Gaming Compact Negotiations  
*Law360*, 04.22.2015

4 Tips For Traversing The Calif. Tribal Gambling Landscape  
*Law360*, 04.20.2015

Sheppard Mullin Nabs Ex-Dorsey Indian Law, Finance Pro  
*Law360*, 02.15.2012

## Speaking Engagements

Presenter, "Hot Topics in Native American Financing 2014," *Lorman Education Services Webinar*, November 20, 2014

Panelist, "Best Practices for Your Tribe's Debt Management," *The Native American Finance Officers Association's (NAFOA) 32nd Annual Conference*, April 14, 2014

Panelist, "Native American Gaming Panel," *Bank of America Merrill Lynch Leveraged Finance Conference*, December 3, 2013

Moderator, "Integrating Community Values in Your Tribal Investments," *The Native American Finance Officers Association (NAFOA)*, September 9, 2013

Panelist, "You Might Need Permission and To Re-Fi or Not to Re-Fi," *Develop Native America (DNA) Conference*, April 30-May 1, 2013

Panelist, "The March Toward Bank Refi's 2013," *The Native American Finance Officers Association (NAFOA)*, April 19, 2013

Presenter, "Investing in Indian Country 101 and Tribal Gaming Update," *Sheppard Mullin Richter & Hampton CLE*, March 20, 2013

Presenter, "Tribal Trust Land Attributes & Acquisitions," *Lorman Seminars CLE*, December 17, 2012

Presenter, "Native America Gaming Panel," *Bank of America Merrill Lynch Leveraged Finance Conference*, December 5, 2012

Panelist, The National Native American Law Students Association (NALSA) Career Panel at the *Federal Bar Association's 37th Annual Indian Law Conference*, April 19, 2012

Panelist, "Project Feasibility," *Develop Native America (DNA) Conference*, April 11, 2012

Panelist, "Lowering the Cost of Capital," *The Native American Finance Officers Association (NAFOA) Conference for Indian Country*, March 20, 2012

Co-Author and Co-Presenter, "Current Issues in Tribal Financing," *Lorman Seminars CLE*, November 2011

Panelist, "Distressed Credits and Debt Management," *Native American Finance Officer's Association Conference*, October 2011

"The New Deal: Understanding & Managing Your Tribal Economics in a Difficult Economy," *Global Gaming Expo Webinar*, September 2010

"Is Your Per Capita Sustainable?" *National Indian Gaming Association Conference*, April 2010

"From Dead Ends to Disasters: Native American Finance at a Crossroads," *Native American Finance Officer's Association Conference*, March 2010

"Issues Related to Native American Debt Structuring," *FBR Capital Markets Telephone Conference*, March 2010

Panelist, "Uncharted Territory, Issues in Native American Debt Restructuring," *Banc of America Securities*, May 2009

Panelist, "Coping with a Brave New World," *Native American Officer's Association Conference*, February 2009

Moderator, "Sovereignty, Governance, and the Law," *Native American Finance Officers Association Conference*, New Jersey, September 2007

Panelist, "Executive Forum: Tribal Sovereign Immunity," *Native Nations Institute*, May 2007

"Financing in a High Yield Market," *IMN Native American Finance Conference*, February 2007

## Events

Cannabis Webinar Wednesday - Tribal Cannabis Update 2021  
Webinar, 02.24.2021

Cannabis Webinar Wednesday - Breaking Down COVID-19's Impacts on the Cannabis Industry  
Webinar, 04.22.2020

Wire Act Update: Practical Implications of the DOJ's Reversal on What Constitutes Illegal Online Gambling  
Practising Law Institute  
Webinar, 02.25.2019

DOJ High "Wire Act"  
The Practical Implications of the DOJ's Flip Flop on What Constitutes Illegal Online Gambling  
Via GlobalMeet, 01.24.2019

Roundtable with Your Finance & Bankruptcy Attorney  
Native American Finance Update - What You Need to Know Now  
Sheppard Mullin New York, 11.13.2014

Breakfast With Your Finance and Bankruptcy Lawyers  
Investing in Indian Country 101 and Tribal Gaming Update  
Sheppard Mullin New York, 03.20.2013

## Memberships

Native American Editorial Advisory Board, *Law360*, 2018

Lecturer in Law for NALSA section Legal Practice Workshop, Adjunct Faculty Member, Columbia Law School,  
2014-2017

## Podcasts & Webinars

Tribal Cannabis Update 2021  
02.24.2021

## Practices

Commercial Lending and Financial Transactions

Asset Based Lending

Syndicated Credit Facilities

Tribal and Indian Law

## Industries

Cannabis

Esports & Games

Financial Services

## Education

J.D., University of Arizona, 1995, *Arizona Law Review*

B.A., Boston College, 1990, *magna cum laude*, *Phi Beta Kappa*

## Admissions

Arizona

California

District of Columbia

New York

United States Court of Appeals for the Sixth Circuit

United States District Courts for the Northern, Eastern, Central and Southern Districts of California