

## The Criminal Practitioner's Guide to Representing Financial Professionals

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New York's status as the global finance capital means that members of the metro-area criminal bar sometimes and themselves defending stockbrokers, investment bankers, traders, research analysts, compliance officers, and other financial professionals. While these cases often generate robust retainers, they also present unique challenges resulting from the regulatory reporting regime that governs the securities industry.

### Attorneys

Jeff Kern

### Practice Areas

Securities Enforcement

### Industries

Financial Services